

16591 U.S. PTO
10/10/09 285
07/01/03

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	9528-007-27 DIV
	First Inventor or Application Identifier	STEPHEN W. DOWNING
	Title	APPARATUSES AND METHODS FOR PERFORMING MINIMALLY INVASIVE DIAGNOSTIC AND SURGICAL PROCEDURES INSIDE OF A BEATING HEART

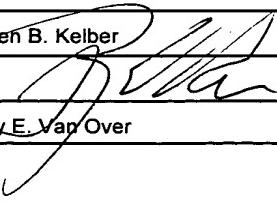
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) <input checked="" type="checkbox"/> Applicant claims small entity status.		ACCOMPANYING DOCUMENTS
2. <input checked="" type="checkbox"/> Specification	Total Pages	46
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets	21
4. <input checked="" type="checkbox"/> Oath or Declaration	Total Pages	3
a. <input type="checkbox"/> Newly executed (original or copy)		
b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <i>(for continuation/divisional with box 16 completed)</i>		
5. <input checked="" type="checkbox"/> Incorporation By Reference <i>(usable if box 4B is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:		
<input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:		
Prior application information: Examiner: Victor Nguyen		Group Art Unit: 3731
17. Amend the specification by inserting before the first line the sentence:		
<input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/881,045 Filed on June 15, 2001 which claims benefit of U.S. Provisional Application No. 60/212,075, filed June 20, 2000. <input type="checkbox"/> This application claims priority of provisional application Serial No. Filed		
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085		

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	July 2003
Name	Perry E. Van Over	Telephone	202-861-3900
		Registration No.	42,197

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07/01/03

FEE TRANSMITTAL

								Docket No.	9528-007-27 DIV					
								Serial No.	NEW DIVISIONAL APPLICATION					
								Filing Date	HEREWITH					
								Inventor(s)	STEPHEN W. DOWNING					
								Group Art Unit	UNASSIGNED					
TOTAL AMOUNT OF PAYMENT				\$375.00				Examiner	UNASSIGNED					
1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.								FEE CALCULATION (continued)						
								3. ADDITIONAL FEES						
								Large Entity	Small Entity		Fee Description			
								Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	
FEE CALCULATION								1051	130	2051	65	Surcharge-late filing fee or oath		
1. BASIC FILING FEE								1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet		
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English specification		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	1812	2520	1812	2520	Ex parte reexam. fee	
1001	750	2001	375	Utility filing fee				375.00	1251	110	2251	55	1-mo. ext. of time	
1002	330	2002	165	Design filing fee					1252	410	2252	205	2-mo. ext. of time	
1003	520	2003	260	Plant filing fee					1253	930	2253	465	3-mo. ext. of time	
1004	750	2004	375	Reissue filing fee					1254	1450	2254	725	4-mo. ext. of time	
1005	160	2005	80	Provisional filing fee					1255	1970	2255	985	5-mo. ext. of time	
SUBTOTAL (1)								\$375.00	1401	320	2401	160	Notice of Appeal	
2. EXTRA CLAIM FEES								1402	320	2402	160	Appeal Brief		
tot. claims	15	-	20*	=	0	x	\$9	=	0	1403	280	2403	140	Request for Oral Hearing
ind. claims	3	-	3*	=	0	x	\$42	=	0	1501	1300	2501	650	Utility/Reissue Issue Fee
<input type="checkbox"/>	Multiple Dependent Claims				\$140				=	1502	470	2502	235	Design Issue Fee
Large Entity		Small Entity		Fee Description				1503	630	2503	315	Plant Issue Fee		
Fee Code	Fee (\$)	Fee Code	Fee (\$)					1460	130	1460	130	Petitions to the Commissioner		
1202	18	2202	9	Claims in excess of 20				1806	180	1806	180	IDS Submission		
1201	84	2201	42	Independent claims in excess of 3				8021	40	8021	40	Assignment		
1203	280	2203	140	Multiple dependent claim, if not paid				1801	750	2801	375	For Filing RCE		
1204	84	2204	42	*Reissue independent claims over original patent				1802	900	1802	900	Expedited Design		
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):						
SUBTOTAL (2)								\$0.00						
* or number previously paid, if greater; For Reissues, see above								SUBTOTAL (3)						\$0.00

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	Jug 1, 2003	Telephone	202-861-3900
Name	Perry E. Van Over	Registration No.		42,197	